

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: Northampton County Housing Authority PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing (SEMAP) <input checked="" type="checkbox"/> Standard (PHAS) <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 01/2011				PHA Code: PA 076																										
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: PA076002: 75 units PA076006: 31 units (Mixed Finance)				Number of HCV units: PA076VO: 710 units PA076MR004/005: 46 units																										
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																														
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) Included in the Consortia</th> <th rowspan="2">Programs Not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>				Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:						
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				PH	HCV																										
PHA 1:																															
PHA 2:																															
PHA 3:																															
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																														
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The PHA's mission is to serve the citizens of its jurisdiction by providing affordable housing in a socially, environmentally and fiscally responsible manner, and by forming effective partnerships with other agencies and organizations to maximize equal and affordable housing opportunities.																														
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. See Appendix A for Goals and Objectives. See Appendix B for progress report.																														
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: The 2010 Annual and 5-Year Plans are in a new format. However, the primary elements of the previous plans remain intact. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. General administrative office of the Northampton County Housing Authority, Oliver C. Border House, Wood Street, Nazareth, PA.																														
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> The Project-based Voucher Program consists of a 60-unit building in Wilson Borough, PA. No changes in the operation of this program are anticipated. The PHA does not operate any of the other programs listed.																														
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.																														
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1, for each current and open CFP grant and CFFP financing. See Appendix C for current and open CFP statements.																														

8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See Appendix D for 5-Year Action Plan.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. See Appendix A. PHA housing needs have been reviewed as needed based upon current Consolidated Plan and other local data.</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. See Appendix A. PHA Housing Needs strategies have been reviewed as needed based upon current Consolidated Plan, local conditions, PHA funding, etc.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. The PHA has been particularly successful in recent years meeting the goal of operating its Voucher Program at a high utilization level relative to available funding. As noted in the PHA's 5-Year Plan (Appendix A), this process has often been made more difficult by HUD's failure to provide the PHA with final HCV budget information until late in the fiscal year and subsequent failure to then provide appropriate levels of supplemental funding. The PHA's Capital Fund operations benefited from the American Recovery and Reinvestment Act of 2009.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification". The PHA defines a "significant amendment" as the inclusion of a new stated goal (as delineated in Appendix A) or the removal of an existing goal. No such amendments have occurred in this Plan. The PHA defines a "substantial deviation/modification" as a change of more than 25% in any quantified goal (except in instances where funds have not become available to meet said goal). No such deviations or modifications have occurred in this Plan.</p>

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights). Original signed form mailed to HUD. (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only). Original signed form mailed to HUD. (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only). Original signed form mailed to HUD. (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only). Original signed form mailed to HUD. (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only). Original signed form mailed to HUD. (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. See Appendix E. (g) Challenged Elements. N.A. (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only). See Appendix C. (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only). See Appendix D.
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APPENDIX A

NORTHAMPTON COUNTY HOUSING AUTHORITY 5-YEAR PLAN PHA FISCAL YEARS 2011 - 2015

[24 CFR Part 903.5]

GOALS AND OBJECTIVES

NOTES:

1. Highlighted passages have been added to 5-Year plan to reflect revisions to the plan following the previous 2010-2014 submission to HUD.
2. Underlined passages reflect revisions to the plan from submissions prior to November 2008.
3. See 2009-2013 5-Year Plan to review PHA activities for years prior to 2008.

A. Mission

The PHA's mission is to serve the citizens of its jurisdiction by providing affordable housing in a socially, environmentally and fiscally responsible manner, and by forming effective partnerships with other agencies and organizations to maximize equal and affordable housing opportunities.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD STRATEGIC GOAL: INCREASE THE AVAILABILITY OF DECENT, SAFE, AND AFFORDABLE HOUSING.

- PHA Goal: Expand the supply of assisted housing**
Objectives:
 Apply for additional rental vouchers to the maximum available, provided

that lease-up of current allocation is close to meeting PHA percentage goals.

- Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities: develop revolving fund loan program within two years using up to 30% of PHA Operating Reserve to leverage new and on-going home ownership, special needs and other affordable housing programs.
 - Acquire or build units or developments in locations consistent with the goal of deconcentrating poverty and expanding housing and economic opportunities. Such locations (with census tract poverty rates below 20%) include virtually all municipalities within the PHA's jurisdiction.
 - Other: maximize issuance of Section 8 Vouchers, to occupy at least 95% of units (based upon maximum HAP payments) within two years. 5/08: based on additional funding notification received from HUD in mid-2007, PHA leased approximately 150 units by the end of the year. PHA applied for and received in May 2008 approximately \$600,000 supplemental funding from HUD to assure that additional units will continue on program. 12/08 based on additional leasing, PHA achieved lease-up of nearly 94% of actual 2007 budget authority. 5/09: The PHA has just received notification of final funding levels for the current year: this will enable it to establish specific Voucher goals. The PHA has expressed concern to HUD that by not establishing these levels early in the year, HUD makes it difficult to fully utilize available funds, and then (by not fully pro-rating funds used the previous year), HUD penalizes the PHA by not continuing to fund units that may have been added to the program with supplemental funding. 8/10: This issue remains a potential problem, but insofar as HUD now consistently uses a unit-count benchmark at the end of September, the PHA has been relatively successful at adjusting the number of units in the program to provide as much assistance as possible within its funding limitations.
- Other: Increase access to affordable housing by reducing fraudulent occupancy of Public Housing and Voucher units. Working with HUD and other agencies, and using enhanced technology methods, the PHA has helped identify, prosecute and/or remove from its rolls tenants whose rent subsidies were based in whole or in part on fraudulent or inaccurate reporting of income or other violations.
- Other: Improve operating efficiency. Operating efficiency of PHA, including staff overtime (generally without compensation) enabled PHA to add approximately 150 Voucher units between July 2007 (following HUD notice of additional funding) and end of year. 5/08: PHA has added staff to its Voucher operation. 8/10: The PHA moved a portion of its administrative program (including payroll and employee benefits) from within the jurisdiction of the County of Northampton to its own control. It anticipates that this change will result in both cost savings and greater staff efficiency. The PHA has further added badly needed staff, and implemented new bookkeeping/accounting procedures which provide

- increased fiscal control and reduced costs.
- Other: 8/07: Improve efficiency of PHA operations to maximize Vouchers by implementing new web-based computerized record-keeping system. 5/08: New web-based system is in place and operational, while undergoing final fine-tuning. 5/09: Staff training and implementation of new software systems is continuing.
- Other: 1/10: Review and respond to affordable housing needs of low, very low and extremely low income families who reside in Northampton County. The PHA has is cognizant that the most recent Northampton County Consolidated Plan (2007-2011) has identified the following numbers and percentages of low income families in the County: below 30% of Median Family Income (MFI): 4,206 families (6.5% of all families in the County); 30-50 % MFI: 5,963 (9.2%); 50-80% MFI: 10,864 (16.8%). The PHA recognizes that these numbers represent an enormous quantity and range of needs, scattered throughout the County but concentrated (as noted in the Consolidated Plan) in the older boroughs. The PHA continues to focus its efforts, within the limitations of the Housing Choice Voucher Program funding and regulations, on addressing needs of most needy of these families, and notes that the County-wide distribution of its HC Vouchers reflects the concentration of needs identified in the Consolidated Plan. The PHA will continue to monitor the possible availability of additional funding to expand current programs and/or establish new ones based upon the needs identified in the Consolidated Plan.
- Other: 7/10: The PHA Commissioners have begun discussing planning strategies to determine if there are any realistic possibilities of developing new housing programs, with or without HUD subsidies.

PHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: PHAS score as of most recent year reported (2006) was 83. The PHAS evaluation received from HUD in 2009 listed "N/A" in every category.
- Improve voucher management: 5/08: PHA SEMAP score for 2007 was 100%. Initially, the score was 85%, based on a 15 point deduction for not achieving 95% lease-up. However, the PHA appealed this score, insofar as funding was not made available to achieve this level of lease-up until mid-2007, after which PHA added approximately 150 units (about 20% of the entire program) by the end of the year, to achieve a total lease-up of nearly 94%. The appeal was successful. 4/09: PHA SEMAP score for 2008 was 96%; the PHA remains a SEMAP "High Performer". 8/10: PHA SEMAP score for 2009 was 96%; the PHA remains a SEMAP "High Performer".
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units: 5/08: PHA has completed

accessibility improvements at Oliver C. Border House entry and public restrooms as recommended in Section 504 assessment of 2006. 5/08: PHA has completed accessibility and safety related replacement of outdoor furnishing at Oliver C. Border House. 5/09: Projects currently under way include work on energy-saving and comfort-related improvements to public space heating, ventilating and air conditioning systems at Oliver C. Border House, and installation of a water-system back-flow preventer at Oliver C. Border House.

- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below): 4/09: PHA will accelerate and enhance public housing improvements based upon acceptance of funds from the American Recovery and Reinvestment Act (ARRA). This plan and related Capital Fund budget documents have been updated accordingly. 8/10: A number of ARRA-funded improvements have already been completed, and the final ARRA project is nearing completion. The PHA's ability to implement proposed Capital Fund improvements was significantly accelerated by the ARRA program.

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords: 5/08: based on additional funding notification received from HUD in mid-2007, the PHA continued its outreach efforts to potential landlords, and successfully leased approximately 150 units by the end of the year.
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs and/or provide funding to ongoing successful local programs.
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: continue to develop and implement leveraged funding program(s) as noted above.
- Other: Develop programs to meet needs of residents that are currently not being addressed, such as assisted living needs of the elderly and frail elderly. Goal: develop 10-20 units of housing to address these needs. 8/08: PHA resumed consideration of affordable assisted living housing development, based on potential new state and Medicaid initiatives regarding funding of such programs. As yet, these initiatives have not been implemented. The PHA continues to monitor this situation.
- Other: Maintain liaison with county-based Affordable Housing Advisory Board to explore and encourage creative housing strategies. 5/09: PHA participation in the County Affordable Housing Advisory Board continues to include the chairperson of that board (now a PHA board member), a

second PHA board member, the PHA Executive Director and the PHA planning consultant. County Affordable Housing Advisory Board is now coordinating efforts in a bi-county area. 8/10: a new member of the PHA Board of Commissioners is also a member of the Northampton County Affordable Housing Advisory Board, continuing the close relationship between these entities, as previously noted.

- Other: 5/08: The PHA board has established a Strategic Planning Subcommittee to investigate options for expanding assisted housing choices. 5/09: The Strategic Planning Subcommittee has begun the process of reviewing a number of PHA personnel and management practices and will do so on an on-going basis. Several items ((including staff health insurance options), have been referred to the full board for consideration. 8/10: As noted above, the PHA has restructured many of its administrative procedures, including the implementation of a new health insurance package, etc.

HUD STRATEGIC GOAL: IMPROVE COMMUNITY QUALITY OF LIFE AND ECONOMIC VITALITY

- PHA Goal: Provide an improved living environment**

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below): 5/08: Energy Conservation. Energy audits were performed for four regional PHA's, as initiated and coordinated by this PHA, and all energy audit work identified as being needed by this PHA has been completed. 8/10: Environmental Reviews (ER). The PHA was notified in 2009 that HUD would no longer provide environmental reviews of Capital Fund or other PHA projects. The PHA has since reached an agreement with the County of Northampton, which will review and approve environmental reviews as needed, based in part on input from an environmental review consultant recently selected by the PHA. The ER process for proposed Capital Fund projects is currently under way.

HUD STRATEGIC GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF FAMILIES AND INDIVIDUALS

- PHA Goal: Promote self-sufficiency and asset development of assisted**

households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: improve liaison with agencies providing appropriate services, particularly to groups or individuals identified as in greatest need by Commonwealth of Pennsylvania Consolidated Plan, northeast region. 8/08: PHA's efforts to develop an affordable assisted living program in Northampton County have included dialogues with the County administration itself and the Northampton County Area Agency on Aging.

HUD STRATEGIC GOAL: ENSURE EQUAL OPPORTUNITY IN HOUSING FOR ALL AMERICANS

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing**
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: including support of initiatives to develop housing for persons with disabilities.
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: 5/08: PHA has completed accessibility improvements at Oliver C. Border House entry and public restrooms as recommended in Section 504 assessment of 2006.
 - 12/09: Develop and approve a written policy that incorporates all required provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (VAWA). This includes continued PHA partnerships with service providers to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; assuring that PHA admissions and occupancy policies reflect the PHA's leasing Rights and responsibilities under the Act; and otherwise adopting all related provisions as described in the Federal Register of March 16, 2007, page 12697 and all related documents. See Appendix F of the PHA's 2010-2014 Annual Plan for further details. 8/10: The VAWA process has now been completed, and all required language is incorporated into PHA documents and procedures.

(End of 5-Year Plan: 2010-2014)

APPENDIX B

NORTHAMPTON COUNTY HOUSING AUTHORITY 5-YEAR PLAN PHA FISCAL YEARS 2011 - 2015

2010 PROGRESS REPORT

The Five-Year Plan of the Northampton County Housing Authority for 2011-2015 notes (in passages that are highlighted) the following progress (and/or setbacks) from January through August 2010 towards achieving the goals and objectives described in the PHA's previous Five-Year Plan.

HUD STRATEGIC GOAL: INCREASE THE AVAILABILITY OF DECENT, SAFE, AND AFFORDABLE HOUSING.

- PHA Goal: Expand the supply of assisted housing**
 - Other: maximize issuance of Section 8 Vouchers, to occupy at least 95% of units (based upon maximum HAP payments) within two years. 5/08: based on additional funding notification received from HUD in mid-2007, PHA leased approximately 150 units by the end of the year. PHA applied for and received in May 2008 approximately \$600,000 supplemental funding from HUD to assure that additional units will continue on program. 12/08 based on additional leasing, PHA achieved lease-up of nearly 94% of actual 2007 budget authority. 5/09: The PHA has just received notification of final funding levels for the current year: this will enable it to establish specific Voucher goals. The PHA has expressed concern to HUD that by not establishing these levels early in the year, HUD makes it difficult to fully utilize available funds, and then (by not fully pro-rating funds used the previous year), HUD penalizes the PHA by not continuing to fund units that may have been added to the program with supplemental funding. 8/10: This issue remains a potential problem, but insofar as HUD now consistently uses a unit-count benchmark at the end of September, the PHA has been relatively successful at adjusting the number of units in the program to provide as much assistance as possible within its funding limitations.
 - Other: 8/10: The PHA moved a portion of its administrative program (including payroll and employee benefits) from within the jurisdiction of the County of Northampton to its own control. It anticipates that this change will result in both cost savings and greater staff efficiency. The PHA has further added badly needed staff, and implemented new bookkeeping/accounting procedures which provide increased fiscal control and reduced costs.

- Other: 1/10: Review and respond to affordable housing needs of low, very low and extremely low income families who reside in Northampton County. The PHA is cognizant that the most recent Northampton County Consolidated Plan (2007-2011) has identified the following numbers and percentages of low income families in the County: below 30% of Median Family Income (MFI): 4,206 families (6.5% of all families in the County); 30-50 % MFI: 5,963 (9.2%); 50-80% MFI: 10,864 (16.8%). The PHA recognizes that these numbers represent an enormous quantity and range of needs, scattered throughout the County but concentrated (as noted in the Consolidated Plan) in the older boroughs. The PHA continues to focus its efforts, within the limitations of the Housing Choice Voucher Program funding and regulations, on addressing needs of most needy of these families, and notes that the County-wide distribution of its HC Vouchers reflects the concentration of needs identified in the Consolidated Plan. The PHA will continue to monitor the possible availability of additional funding to expand current programs and/or establish new ones based upon the needs identified in the Consolidated Plan.
- Other: 7/10: The PHA Commissioners have begun discussing planning strategies to determine if there are any realistic possibilities of developing new affordable housing programs, with or without HUD subsidies.

PHA Goal: Improve the quality of assisted housing

- Other: (list below): 8/10: A number of American Recovery and Reinvestment Act (ARRA)-funded improvements have already been completed, and the final ARRA project is nearing completion. The PHA's ability to implement proposed Capital Fund improvements was significantly accelerated by the ARRA program.
- 8/10: PHA SEMAP score for 2009 was 96%; the PHA remains a SEMAP "High Performer".

PHA Goal: Increase assisted housing choices

- Other: . 8/10: a new member of the PHA Board of Commissioners is also a member of the Northampton County Affordable Housing Advisory Board, continuing the close relationship between these entities, as previously noted.

PHA Goal: Provide an improved living environment

- Other: 8/10: Environmental Reviews (ER). The PHA was notified in 2009 that HUD would no longer provide environmental reviews of Capital Fund or other PHA projects. The PHA has since reached an agreement with the

County of Northampton, which will review and approve environmental reviews as needed, based in part on input from an environmental review consultant recently selected by the PHA. The ER process for proposed Capital Fund projects is currently under way.

- ☒ **PHA Goal: Ensure equal opportunity and affirmatively further fair housing**
 - ☒ 8/10: The VAWA process has now been completed, and all required language is incorporated into PHA documents and procedures.

(End of 2010 Progress Report)

APPENDIX C

NORTHAMPTON COUNTY HOUSING AUTHORITY CAPITAL FUND PROGRAM STATEMENTS

The following Capital Fund Program Annual Statements/Performance and Evaluation Reports begin with FY 2009. All previous years are closed out: see previous Northampton County Housing Authority Annual Plans and/or other submissions to HUD for final CFP forms 2003-2008.

Note that there are two forms for 2009: one for regular annual funding and one for supplemental funding based upon ARRA, the American Recovery and Reinvestment Act. The CFP statements that follow are:

- **Appendix C.1** **2009 American Recovery & Reinvestment Act (ARRA)**
- **Appendix C.2** **2009**
- **Appendix C.3** **2010**
- **Appendix C.4** **2011**

Annual Statement/ Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Northampton County Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA26S076 501-09 Date of CFFP: _____	FFY of Grant 2009 ARRA FFY of Grant Approval: _____
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Line	Summary by Development Account	Total Estimated Cost			Total Actual Cost ¹
		Original	Revised ²	Obligated	
1	Total Non-CFP Funds				-
2	1406 Operations (may not exceed 20% of line 21) ³	\$ -	\$ -	\$ -	-
3	1408 Management Improvements	\$ 15,000.00	\$ -	\$ -	-
4	1410 Administration (may not exceed 10% of line 21)	\$ -	\$ -	\$ -	-
5	1411 Audit				-
6	1430 Fees and Costs - Loan	\$ -	\$ -	\$ -	-
7	1430 Fees and Costs	\$ 14,000.00	\$ 31,041.00	\$ 31,041.00	25,672.00
8	1440 Site Acquisition				-
9	1450 Site Improvements				-
10	1460 Dwelling Structures	\$ 45,000.00	\$ 34,230.00	\$ 34,230.00	-
11	1465.1 Dwelling Equipment - Non-expendable	\$ 74,144.00	\$ 82,873.00	\$ 82,873.00	66,550.00
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	-
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -	-
14	1485 Demolition				-
15	1492 Moving to Work Demonstration				-
16	1495.1 Relocation Costs				-
17	1499 Development Activities ⁴				-
18a	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	-
18ba	9000 Collateralization or Debt Service paid by Via System of Direct				-
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	-
20	Amount of Annual Grant: (sum lines 2 - 19)	\$ 148,144.00	\$ 148,144.00	\$ 148,144.00	\$ 148,144.00
21	Amount of line 20 related to LBP Activities				-
22	Amount of line 20 related to Section 504 Activities				-
23	Amount of line 20 related to Security - Soft Costs	\$ 50,000.00	\$ 50,000.00	\$ 50,000.00	\$ 50,000.00
24	Amount of line 20 related to Security - Hard Costs				-
25	Amount of line 20 Related to Energy Conservation Measures				\$ -

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/ Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26S076 501-09 Date of CFFP: _____		FFY of Grant 2009 ARRA FFY of Grant Approval: 0			
Type of Grant	<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no: 2)				
	<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:	8/31/2010	<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost	Original	Revised ₂	Obligated	Total Actual Cost ₁	Expended
	Signature of Executive Director	Date	Signature of Public Housing Director	Date	Date		

Part II: Supporting Pages

PHA Name : Northampton County Housing Authority

Federal FFY of Grant: 2009 ARRA

		Grant Type and Number		Federal FFY of Grant: 2009 ARRA			
		Capital Fund Program Grant No: PA26S076 501-09					
		Replacement Housing Factor Grant No:					
Development Number	General Description of Major Work Categories	Quantity		Total Estimated Cost	Total Actual Cost	Status of Work	
Name/HA-Wide Activities	Development Account No.	Original	Revised	Funds Obligated	Funds Expended		
HA-Wide Activities	Management Improvements: Management, financial and accounting control systems of the PHA will be used in the tracking of invoices related to the use of modernization funds	1408	N/A	15,000.00	-	Deleted	
PA 76-1	Fees and Costs: (Engineer, architect, legal, accounting to perform modernization tasks)	1430	N/A	7,000.00	21,041.00	21,041.00	17,401.65 New: In Progress
PA 76-2	Appliance replacement; Stoves and Refrigerators	1465.1	100	45,000.00	34,230.00	34,230.00	From 2011 5-yr Action Plan (@ \$5,000: bid received
PA 76-2	Wood Street canopy repairs	1470	1	5,000.00	5,960.00	5,960.00	4,786.09 From 2011 5-yr Action Plan (@ \$5,000: bid received
PA 76-2	Window Repairs	1470	75 units	7,500.00	-	-	Deleted
PA 76-2	Lobby Floor Replacement	1470	800 s.f.	14,500.00	6,952.00	6,952.00	5,582.71 From 2010 5-yr Action Plan (@ \$15,000: bids received
PA 76-6	Fees and Costs, including (engineer, architect, legal, accounting to perform modernization tasks	1430	N/A	7,000.00	10,000.00	10,000.00	8,270.35 New: In Progress
PA-76-6	New Patio / Canopy	1470	700 s.f.	47,144.00	69,961.00	69,961.00	56,181.20 From 2011 5-yr Action Plan (@ \$40,000: bid received
	Page Totals			148,144.00	148,144.00	148,144.00	126,452.00

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Northampton County Housing Authority

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/ Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Northampton County Housing Authority		Grant Type and Number: Capital Fund Program Grant No: PA76 501-09	FFY of Grant 2009
		Date of CFFF:	FFY of Grant Approval:
Type of Grant	<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies	<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3)	
		<input checked="" type="checkbox"/> 8/31/2010	<input type="checkbox"/> Final Performance and Evaluation Report

Performance and Evaluation Report for Period Ending:

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CFP Funds	\$ -	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of line 21) ³	\$ 10,000.00	\$ 33,563.00	\$ 33,563.00	\$ 28,929.00
3	1408 Management Improvements	\$ 15,000.00	\$ 17,616.00	\$ 17,616.00	\$ 17,616.00
4	1410 Administration (may not exceed 10% of line 21)	\$ 10,000.00	\$ 12,000.00	\$ 12,000.00	\$ 12,000.00
5	1411 Audit	\$ -	\$ -	\$ -	\$ -
6	1430 Fees and Costs - Loan	\$ -	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 15,000.00	\$ 11,780.00	\$ 11,780.00	\$ 9,387.00
8	1440 Site Acquisition	\$ -	\$ -	\$ -	\$ -
9	1450 Site Improvements	\$ -	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ -	\$ -	\$ 12,080.00	\$ 12,080.00
11	1465.1 Dwelling Equipment - Non-expendable	\$ -	\$ -	\$ 34,230.00	\$ 34,230.00
12	1470 Non-dwelling Structures	\$ -	\$ -	\$ -	\$ -
13	1475 Non-dwelling Equipment	\$ 63,482.00	\$ 6,660.00	\$ 6,660.00	\$ 6,182.00
14	1485 Demolition	\$ -	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ -	\$ -	\$ -	\$ -
18a	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid by Via System of Direct	\$ -	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum lines 2 - 19)	\$ 113,482.00	\$ 127,929.00	\$ 127,929.00	\$ 127,929.00
21	Amount of line 20 related to LBP Activities	\$ -	\$ -	\$ -	\$ -
22	Amount of line 20 related to Section 504 Activities	\$ -	\$ -	\$ -	\$ -
23	Amount of line 20 related to Security - Soft Costs	\$ -	\$ -	\$ -	\$ -
24	Amount of line 20 related to Security - Hard Costs	\$ -	\$ -	\$ -	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ -	\$ -	\$ -	\$ -

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

**Annual Statement/ Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Type of Grant			Total Actual Cost ¹		
DHA Name: Northampton County Housing Authority		Original Annual Statement			Total Estimated Cost	Original	Obligated
		<input type="checkbox"/> Performance and Evaluation Report for Period Ending:			Date	Revised ₂	Expended
		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3)					
		<input type="checkbox"/> Reserve for Disasters/Emergencies					
		<input type="checkbox"/> Final Performance and Evaluation Report					
Grant Type and Number Capital Fund Program Grant No: PA76 501-09 Date of CFFP: _____					FFY of Grant 2009	FFY of Grant Approval: 0	Date

Part II: Supporting Pages

PHA Name : Northampton County Housing Authority

Moved to 2009 ABBA; moved from Account 1475

Moved to E-Year Plan (2010) approved by HED 11/08
Moved to E-Year Plan (2010) approved by HED 11/08

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Northampton County Housing Authority

1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/ Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26P076 501-10 Date of CFFP: _____	FFY of Grant 2010 FFY of Grant Approval: _____
Type of Grant	<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report		

Line	Summary by Development Account	Total Estimated Cost Original	Total Actual Cost ¹ , Revised ² Obligated	Total Actual Cost ¹ , Expended
1	Total Non-CFP Funds	\$ -	\$ -	\$ -
2	1406 Operations (may not exceed 20% of line 21) ³	\$ 17,000.00	\$ 17,328.00	\$ -
3	1408 Management Improvements	\$ 17,000.00	\$ 17,000.00	\$ -
4	1410 Administration (may not exceed 10% of line 21)	\$ 10,000.00	\$ 13,000.00	\$ -
5	1411 Audit	\$ -	\$ -	\$ -
6	1430 Fees and Costs - Loan	\$ -	\$ -	\$ -
7	1430 Fees and Costs	\$ 16,000.00	\$ 15,000.00	\$ -
8	1440 Site Acquisition	\$ -	\$ -	\$ -
9	1450 Site Improvements	\$ -	\$ -	\$ -
10	1460 Dwelling Structures	\$ 41,482.00	\$ 55,000.00	\$ -
11	1465.1 Dwelling Equipment - Non-expendable	\$ -	\$ -	\$ -
12	1470 Non-dwelling Structures	\$ 12,000.00	\$ 13,000.00	\$ -
13	1475 Non-dwelling Equipment	\$ -	\$ -	\$ -
14	1485 Demolition	\$ -	\$ -	\$ -
15	1492 Moving to Work Demonstration	\$ -	\$ -	\$ -
16	1495.1 Relocation Costs	\$ -	\$ -	\$ -
17	1499 Development Activities ⁴	\$ -	\$ -	\$ -
18a	1501 Collateralization or Debt Service paid by the PHA	\$ -	\$ -	\$ -
18ba	9000 Collateralization or Debt Service paid by Via System of Direct	\$ -	\$ -	\$ -
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -	\$ -
20	Amount of Annual Grant: (sum lines 2 - 19)	\$ 113,482.00	\$ 130,328.00	\$ -
21	Amount of line 20 related to LBP Activities	\$ -	\$ -	\$ -
22	Amount of line 20 related to Section 504 Activities	\$ -	\$ 43,000.00	\$ -
23	Amount of line 20 related to Security - Soft Costs	\$ -	\$ -	\$ -
24	Amount of line 20 related to Security - Hard Costs	\$ -	\$ 7,500.00	\$ -
25	Amount of line 20 Related to Energy Conservation Measures	\$ -	\$ -	\$ -

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³ PHAs with under 250 units may use 100% of CFP Grants for operations.

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Annual Statement/ Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Northampton County Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA26P076 501-10 Date of CFFP: _____	FFY of Grant 2010 FFY of Grant Approval: 0
Type of Grant	<input checked="" type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Original Revised ₂ Obligated Total Actual Cost ₁ Date Signature of Public Housing Director Date Expended
Signature of Executive Director	Date	

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name : Northampton County Housing Authority

Development Number/HA-Wide Activities	General Description of Major Work Categories	Grant Type and Number		Federal FFY of Grant: 2010		
		Capital Fund Program Grant No: PA26P076 501-10 Replacement Housing Factor Grant No:	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
Development Account No.	Original	Revised	Funds Obligated	Funds Expended		
HA-Wide Operations	1406 n/a	17,000.00	17,328.00	-		Not Started
HA-Wide Management Improvements: Comp software	1408 n/a	17,000.00	17,000.00	-		Not Started
HA-Wide Administration: E.D. sal, accent/bookkeeping	1410 n/a	10,000.00	13,000.00	-		Not Started
HA-Wide Fees & Costs: planning/architect/eng consultants	1430 n/a	16,000.00	15,000.00	-		Not Started
PA 76-2 Replace Fire Alarm Panel	1460 1		7,500.00			Not Started
PA 76-2 Ongoing Improvements: Carpet replacement, handicap accommodations	1460 10 units	5,000.00	3,000.00			Not Started
PA 76-2 Assessment Improvements: Dwelling unit hardware, cabinets - New Medicine Cabinets & Bathroom Lighting	1460 75 units	15,000.00	-			Not Started
PA 76-2 Window Repairs	1460 75 units		-	7,500.00		Moved to 2011
PA 76-2 Apartment toilet modifications - Replace non-handicapped toilets	1460 75 units	7,000.00	35,000.00	-		Not Started
PA 76-6 Ongoing Improvements: Carpet replacement, handicap accommodations	1460 5 units	3,482.00	2,000.00			Not Started
PA 76-6 Assessment Improvements: Dwelling unit hardware, cabinets - New Medicine Cabinets & Bathroom Lighting	1460 31 units	8,000.00	-			Deleted
PA 76-6 Apartment toilet modifications - Replace non-handicapped toilets	1460 31 units	3,000.00	-			Deleted
PA 76-2 Assessment Improvements: Elevator room - Install Sprinkler in Elevator Room	1470 1 building	8,000.00	5,000.00	-		Not Started
PA 76-2 Modify Entry Systems for Hearing Impaired	1470 1 system		4,000.00			Not Started
PA 76-6 Modify Entry Systems for Hearing Impaired	1470 1 system	-	4,000.00			Not Started
PA 76-6 Assessment Improvements: Elevator room, roofing, etc....	1470 1 building	4,000.00	-			Moved to 2011
Page Totals			113,482.00	130,328.00		

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Northampton County Housing Authority

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: PA26PO76 501-11 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2011 FFY of Grant Approval:	
Type of Grant	<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report	<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report	<input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input type="checkbox"/> Final Performance and Evaluation Report	Total Actual Cost ¹	
Line	Summary by Development Account	Original	Revised ²	Obligated	Expended
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	20,000			
3	1408 Management Improvements	20,000			
4	1410 Administration (may not exceed 10% of line 21)	10,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	20,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	10,000			
10	1460 Dwelling Structures	13,482			
11	1465.1 Dwelling Equipment—Nonependable				
12	1470 Non-dwelling Structures	10,000			
13	1475 Non-dwelling Equipment	10,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴			11.8.10	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Northampton County Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA26 PA076 501-11 Replacement Housing Factor Grant No: Date of CFFP:	<input checked="" type="checkbox"/> FFY of Grant:2011 <input type="checkbox"/> FFY of Grant Approval:			
Type of Grant	<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Original	Revised ²	Total Actual Cost ¹
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	113,482			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	20,000			
23	Amount of line 20 Related to Security - Soft Costs	5,000			
24	Amount of line 20 Related to Security - Hard Costs	5,000			
25	Amount of line 20 Related to Energy Conservation Measures	5,000			
Signature of Executive Director		Date	Signature of Public Housing Director		
		Date			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

**Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

To be completed for the Performance and Evaluation Report or a Revised Annual Statement

To be completed for the Performance and Evaluation Report

**Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report

**Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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**Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: [This page is blank]

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

13. Capital Fund Program Five-Year Action Plan		2011-15	08/24/10
Part I: Summary			
PHA Name			Original 5-Year Plan Revision No: 1
Development Number/Name/HA-Wide	Year 1 2011	Work Statement for Year 2 FFY Grant: 2012 PHA FY: 2012	Work Statement for Year 3 FFY Grant: 2013 PHA FY: 2013
Annual Statement			Work Statement for Year 4 FFY Grant: 2014 PHA FY: 2014
HA-wide	Operations, Management Improvements, Administration, Fees and Costs	Operations, Management Improvements, Administration, Fees and Costs	Operations, Management Improvements, Administration, Fees and Costs
76-2 OCB	Ongoing improvements* Project Needs Assessment Improvements	Ongoing improvements* Project Needs Assessment Improvements	Ongoing improvements* Project Needs Assessment Improvements
	Update Section 504 review	Implement Sec. 504 review	Implement Energy Audit
		Update Energy Audit	Repair/replace Center Street patios and walkways
76-6 HJM	Ongoing improvements* Project Needs Assessment Improvements	Ongoing improvements* Project Needs Assessment Improvements	Ongoing improvements* Project Needs Assessment Improvements
	Update Section 504 review	Implement Sec. 504 review	Implement Energy Audit
	Appliance Replacements		
CFP Funds Listed for 5-year planning	\$113,482	\$113,482	\$113,482
Replacement Housing Factor Funds			

* Upgrades of computer and telecommunications systems
Carpet replacement
Handicapped access accommodation requests

Capital Fund Program Five-Year Action Plan		2011-2015				8/24/10	
Part II: Supporting Pages—Work Activities							
Activities for Year 1		Activities for Year : 2012		Activities for Year: 2013			
		FFY Grant: 2012	PHA FY: 2012	FFY Grant: 2013	PHA FY: 2013		
See Statement	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
Annual	HA-wide	Operations, Management Improvements, Administration, Fees & Costs	60,000	HA-wide	Operations, Management Improvements, Administration, Fees & Costs	60,000	
Oliver Border House/PA 76-2	Ongoing Improvements	5,000	Oliver Border House/PA 76-2	Ongoing Improvements	5,000		
	Project Needs Assessment Improvements	20,000		Project Needs Assessment Improvements	20,000		
	Update Section 504 Review	5,000		Implement Section 504 Review	5,000		
				Update Energy Audit	5,000		
Howard Jones Manor/PA 76-6	Ongoing Improvements	3,482	Howard Jones Manor/PA 76-6	Ongoing Improvements	3,482		
	Project Needs Assessment Improvements	5,000		Project Needs Assessment Improvements	5,000		
	Update Section 504 Review	5,000		Implement Section 504 Review	5,000		
	Appliance Replacements	10,000		Update Energy Audit	5,000		
	Total Estimated Cost	113,482					113,482

Capital Fund Program Five-Year Action Plan		2011-2015			
Part II: Supporting Pages—Work Activities					
Activities for Year 1	Activities for Year : 2014 FFY Grant: 2014 PHA FY: 2014	2011-2015			
See	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories
Annual Statement	HA-wide	Operations, Management Improvements, Administration, Fees & Costs	40,000	HA-wide	Operations, Management Improvements, Administration, Fees & Costs
Oliver Border House/PA 76-2	Ongoing Improvements	5,000	Oliver Border House/PA 76-2	Ongoing Improvements	5,000
	Project Needs Assessment Improvements	5,000		Project Needs Assessment Improvements	20,000
	Implement Energy Audit	5,000			
	Repair/replace Center St. patios and walkways	50,000			
Howard Jones Manor/PA 76-6	Ongoing Improvements	3,482	Howard Jones Manor/PA 76-6	Ongoing Improvements	3,482
	Project Needs Assessment Improvements	3,000		Project Needs Assessment Improvements	5,000
	Implement Energy Audit	2,000		Exterior furnishings	10,000
Total Estimated Cost		113,482			113,482

Appendix E

Northampton County Housing Authority Resident Advisory Board September 2010

Membership

Following are members of the Resident Advisory Board (RAB) as of September 21, 2010:

Les Morrow

Review of Annual and 5-Year Plans 2011 – 2015

As of this writing, only one member remains on the RAB (as compared to the eleven members serving in 2009). The PHA is making efforts to help with the organization of a new RAB. In the absence of a functioning RAB, the PHA has invited all residents to comment on the PHA's Annual and 5-Year Plans for 2011 – 2015. To date, no comments have been received.